VDOT

Virginia Department of Transportation

SMART SCALE PROJECT CHANGE PROCESS

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Current SMART SCALE Project Change Process

- **In advance of Round 6, Districts are reviewing existing SMART SCALE projects at-risk for potential scope/budget issues**

- **SMART SCALE Policy requires Board action to approve**
  - Project cancellations
  - Significant scope changes
  - Budget increases greater than established thresholds:
    - Total Cost <$5 million: 20% increase in funding requested
    - Total Cost $5 million to $10 million: $1 million or greater increase in funding requested
    - Total Cost >$10 million: 10% increase in funding requested; $5 million maximum increase in funding requested

Current SMART SCALE Project Change Process

- **SMART SCALE Cost Overrun Policy requires certain information items to be provided to the CTB**
  - Revised score and rank
  - Available funding
  - Project expenditures
  - Reason(s) for increase
- **These approval requirements are satisfied in <u>two</u> ways**
  - Presentation of proposed change at a CTB workshop followed by action the next month
  - Approval of the Monthly Transfer Report action item
  - This duplicative action can add 3-4 months (or more) and exacerbate existing budget and/or schedule issues

SMART SCALE Project Change Process – Moving Forward

- **District Grant Program (DGP) budget increases greater than the threshold will be coordinated with District CTB member(s) and approved via the Monthly Transfer Report action item (no separate presentation or action)**
  - Information items will provided as an attachment to the Monthly Transfer Report and posted on-line

- **Significant scope changes and High Priority Projects Program (HPP) budget increases greater than the threshold will continue to be presented to the full CTB as a workshop and action item**

SMART SCALE Project Change Process – Moving Forward

Scope Change	Budget Change Below Threshold	Budget Change Above Threshold HPP	Budget Change Above Threshold DGP
• **No change** • CTB action required if the revised score is below the lowest ranked project in the cohort	• **No change** • Approved by IID Director • Provided to CTB in Monthly Transfer Report	• **No change** • Project-specific presentation and action by CTB	• District CTB member(s) provide concurrence • Information items provided in CTB packet and posted online • Approved via Monthly Transfer action item

Next Steps

- **Work with District contacts to identify at-risk SMART SCALE projects for potential cancellation/budget change/scope change in advance of Round 6**